

Improve Your Company 401(k) Plan Performance with Re-enrollment

Help your employees work towards financial independence with a personalized advice solution

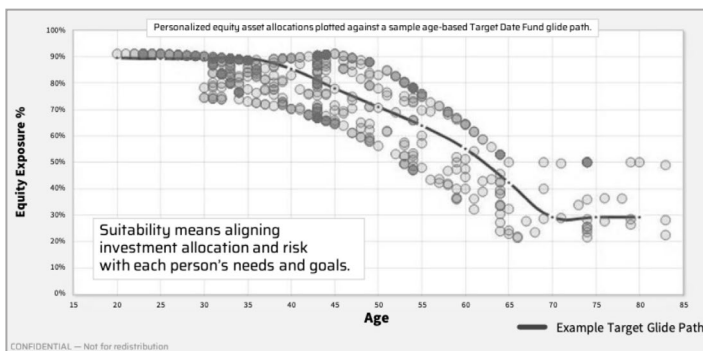
Re-enrollment Does 3 Important Things for Your Company 401(k)

Resetting Your Plan QDIA

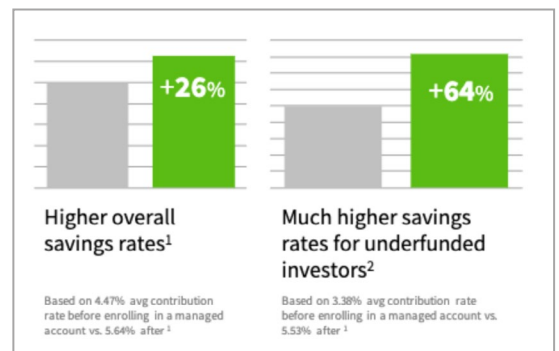
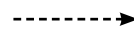
A **Qualified Default Investment Alternative** (QDIA) provides a safe harbor for plan sponsors to default participants into risk- and age-based investment options when they do not make their own choices.

- 01.** Realigns the default (QDIA) investment strategy from a single factor (age-based) Target Date Fund to a personalized, goals-based advice solution
- 02.** Improves diversification for participants who have not adjusted their asset allocation in years
- 03.** Provides a professionally managed “easy button” for participants who lack experience or confidence making investment decisions

Personalization Means Suitability



... and drives **PERFORMANCE!**



Employees Appreciate Help

Source 1: JP Morgan Plan Participant Research 2021
Source 2: Alliance Bernstein survey on reenrollment

- **Less than 40% of participants** are highly confident about which investments to choose.¹
- **9 in 10 are happy** with a re-enrollment.²
- **Only 1 in 5 opt out** to choose their own investments.²

Initiate a Re-enrollment of your company 401(k) help more employees get on a personalized path to reaching their investment goals.

OPTIONAL: DISCLOSURES CAN BE LISTED HERE

LOGO GOES HERE